



1 Towards a Formal and Implemented Model of 2 Argumentation Schemes in Agent Communication

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9 **Abstract.** Argumentation schemes are patterns of non-deductive reasoning that have been the focus of
10 extended study in argumentation theory. They have also been identified in computational domains
11 including multi-agent systems as holding the potential for significant improvements in reasoning and
12 communication abilities. By focusing on models of natural language argumentation schemes, and then
13 building formal systems from them, direct implementation in multi-agent environments becomes a possi-
14 bility. The formal, representational and implementational details are presented here, along with results
15 that demonstrate not only advantages of flexibility, scope, and knowledge sharing, but also of compu-
16 tational efficiency.

17 **Keywords:** argumentation, knowledge representation, schemes.

18 19 1. Introduction

20 Argumentation schemes capture stereotypical patterns of reasoning. Their study
21 constitutes an ancient part of argumentation theory that has recently been attracting
22 increasing attention [29, 30], *inter alia*. Very early expositions laid out schemes as
23 types of proofs – a handy guide to the ways and means of persuading an audience
24 (see, e.g. [22]). In this context, they are treated as a form of rhetoric. Later, they were
25 adopted as a means of identifying bad arguments – this is very much the Aristotelian
26 approach, in which schemes form a foundation stone for fallacy theory. Both of
27 these traditions, the fallacy-theoretic and rhetorical, have had much more recent
28 exponents, such as [9, 18]. But a new approach has also emerged from informal logic,
29 whereby a more analytical, more objective approach has been taken to the charac-
30 terisation of these reasoning patterns. Good examples include [12, 29] who both
31 attempt to sketch means for the classification of schemes.

32 Schemes have also been attracting the attentions of those who are interested in
33 exploiting the rich interdisciplinary area between argumentation and AI [2, 20, 23,
34 27]. Of course, AI has long been interested in non-deductive forms of reasoning (for
35 a good review of a large proportion of the area, see [21]). But schemes, as construed
36 by argumentation theory, seem to provide a somewhat more fine-grained analysis
37 than is typical within AI. One example lies in the granularity of classification of
38 types: Kienpointner introduces over a dozen, Walton, almost thirty, Grennan, over
39 fifty, [11], over 100 – and none claim exhaustivity. By comparison, AI systems are

40 more typically built with a small handful ([19] OSCAR, for example identifies less
41 than 10 – with an uneven amount of work spread between them). This profligacy in
42 philosophical classification might be argued to be as much a problem as an
43 advantage – this is explored further below – but it serves to demonstrate that more
44 detail is in some way being adduced. In particular, the propositional logic upon
45 which a great deal of multi-agent argumentation is based is being further analysed to
46 yield more refined structures of reasoning. It is the contention of this paper that
47 those refined structures of reasoning yield well to a computational interpretation,
48 and can be implemented to useful effect.

49 The aim of this paper is to employ conventional techniques (demonstrated in [1, 5,
50 15] *inter alia*) to handle the structure of argumentation schemes in such a way that (a)
51 individual agents can reason about and develop arguments that employ schemes, and
52 (b) that communication structures can be built up around those schemes. A formal
53 account is an important objective servicing this aim, but equally important is a
54 concrete implementation that demonstrates that both (a) and (b) can be achieved in
55 practice. Although the implementation necessarily makes specific choices with regard
56 to development, the formal component guarantees the broader applicability of the
57 approach.

58 This paper reports on the first completed phase of a work in progress and
59 describes the framework, both theoretical and applied, around which development
60 continues.

61 2. Argumentation schemes in natural discourse

62 Argumentation schemes are forms of argument (structures of inference) representing
63 common types of argumentation. They correspond to the structures of arguments
64 used in everyday discourse, as well as in special contexts like legal argumentation or
65 scientific argumentation. They embody the deductive and inductive forms of argu-
66 ment that we are so highly familiar with in logic. But they can also represent forms of
67 argument that are neither deductive nor inductive, but that fall into a third category,
68 sometimes called *abductive* or *presumptive*. This third type of argument is defeasible,
69 and carries weight on a balance of considerations in a dialogue. Perelman and
70 Olbrechts-Tyteca, in *The New Rhetoric* (1969) identify many of these defeasible types
71 of arguments used to carry evidential weight in a dialogue. Hastings' [10] carries out
72 a systematic analysis of many of the most common of these presumptive schemes.
73 The scheme itself specifies the form of premises and conclusion of the argument.
74 Hastings expresses one special premise in each scheme as a Toulmin warrant [26]
75 linking the other premises to the conclusion. Such a warrant is typically a defeasible
76 generalisation. Along with each scheme, he attaches a corresponding set of critical
77 questions. These features set the basic pattern for argumentation schemes in the
78 literature that followed.

79 Many of these argumentation schemes are described and analyzed by van Eemeren
80 and Grootendorst [6]. Kienpointner [13] develops a comprehensive listing of argu-
81 mentation schemes that includes deductive and inductive forms in addition to pre-
82 sumptive ones. In Walton [29], 25 argumentation schemes for common types of

83 presumptive reasoning are identified. Following Hastings' format, a set of critical
84 questions is attached to each scheme. If an argument put forward by a proponent
85 meets the requirements of a scheme, and the premises are acceptable to the
86 respondent, then the respondent is obliged to accept the conclusion. But this
87 acceptance, or commitment as it is often called, is provisional in the dialogue. If the
88 respondent asks one of the critical questions matching the scheme, the argument
89 defaults and the burden shifts back to the proponent. The weight of the argument is
90 only restored when the proponent gives a successful answer to the question.

91 An argumentation scheme that can be used as an example is that for *Argument*
92 *from Position to Know*. It is based on the assumption by one party that another party
93 has information that the first party needs. For example someone lost in a foreign city
94 asks a stranger where the Central Station is. The questioner needs this information,
95 and does not have it. If the respondent gives an answer by citing a location, what
96 reason does the questioner have to think that she can act on this information, or take
97 it as true? The rationale is given by argument from position to know. The version of
98 the argumentation scheme in ([29], pp. 61–63) is given below.

99 **Argument from Position to Know**

100 **Major Premise:** Source a is in a position to know about things in a certain subject
101 domain S containing proposition A .

102 **Minor Premise:** a asserts that A (in Domain S) is true (false).

103 **Conclusion:** A is true (false).

104 When a proponent puts forward an argument in a dialogue and it meets the
105 requirements indicated above, then it carries some weight as a presumption. But it is
106 defeasible by questioning. Matching the argument from position to know are three
107 critical questions ([29], p. 62):

108 **CQ1:** Is a in a position to know whether A is true (false)?

109 **CQ2:** Is a an honest (trustworthy, reliable) source?

110 **CQ3:** Did a assert that A is true (false)?

111 When the proponent in a dialogue has put forward an argument from position to
112 know, the respondent can ask any one of these three critical questions. Once the
113 question has been asked the presumptive weight the argument had before is with-
114 drawn. But if the proponent gives an acceptable answer to the question, the weight is
115 restored.

116 **3. A theory of argumentation schemes**

117 Unfortunately, though the argumentation literature includes a wide variety of ap-
118 proaches to definition, classification, collection, analysis and specification of

119 schemes, there is none that represents either a definitive or a consensual view. Any
120 current computational work on schemes must therefore position itself somewhere in
121 the space of theoretical work.

122 If argumentation schemes capture types of argument, perhaps the first theoretical
123 issue is to resolve the scope of our study by determining the kinds of argument we are
124 interested in. The problem is wide-ranging, and has direct impact on models in multi-
125 agent systems. Does, for example, the bid-counter-bid protocol of many auctions
126 count as argument? For most researchers in multi-agent systems, this is too trivial to
127 count, though for some argumentation theorists who take an inclusive view (such as
128 Walton) it certainly could. Alternatively, would the exchange of sets of acceptable
129 theorems (in the sense of [5]) count as argument? For most MAS people using
130 argumentation, the answer is that it is, self-evidently, argument. Yet argumentation
131 theorists of a communication theoretic or pragma-dialectic stripe might beg to differ.
132 If we want a theory of argumentation in multi-agent systems, we need to delimit
133 what that theory should account for.

134 There are, as might be expected, almost as many definitions of argument as there
135 are argumentation theorists. At one end, the all-encompassing taxonomy of Gilbert
136 [8] covers a panoply of situated action that can count as argument, from artistic
137 creation, through non-linguistic communication, to physical activity. At the other
138 end, van Eemeren and Grootendorst's [6] pragma-dialectics associates argument
139 with the notion of critical discussion, a closely bounded, tightly specified linguistic
140 activity whose definition rests upon speech act theory.

141 In multi-agent systems, the majority of recent work exploring notions of argu-
142 mentation has a propositional foundation. Thus one of the foremost examples, [15],
143 offers a brief description of the "topic layer": "*Topics are matters under discussion by*
144 *the participating agents, and we assume that they can be represented in a suitable logic*
145 *L. Topics are denoted by the lower case Roman letters p, q, r, etc. ... Topics may refer*
146 *to either real-world objects or to states of affairs*". They go on to explain that *L* may
147 also include modalities, but even though the concept of "real-world objects" is a little
148 ambiguous, it is clear that the intention here is to use something rather close to a
149 (possibly modal) propositional logic as the language for expressing the content of
150 locutions. There is little more said concerning the topic layer, either in [15] or in work
151 that takes a very similar approach, such as [1].

152 If there is a need to stay close to natural language usage (in order, for example, to
153 exploit theories of communication that have been developed for natural languages),
154 then such a propositional basis starts to falter – or at least, starts to be inadequate on
155 its own.

156 The aims of a formalisation should therefore be (a) to remain sufficiently close to
157 linguistic practice that the richness and flexibility of natural argumentation can be
158 exploited, whilst aiming (b) to render a model that is straightforwardly imple-
159 mentable, both in the generation and understanding of argument. The focus here is
160 upon the definition, representation and manipulation of scheme-based structures.
161 There are many and rich interplays between argumentation schemes and the progress
162 and conduct of dialogue. Some of these are explored in [20].

163 With these aims, and this focus in mind, and building on the multi-agent systems
164 tradition of the propositional underpinning, the theoretical basis here borrows

165 heavily from [11]. Arguments themselves are construed as (non-atomic) proposi-
166 tions.¹ These propositions refer to facts that “wholly convey” other facts through a
167 variety of relations of conveyance. That is, the communicative structures refer to
168 relationships that exist in the world between fully specified states of affairs. Examples
169 of these relationships include causal relations, class-membership relations, consti-
170 tutive relations and others (and these relation types can form the basis of a system of
171 classification).

172 An example will serve to clarify. The following extract, Ex1, is taken from the *The*
173 *United Kingdom Commons Hansard Debate Text* for 21 October 2002: Vol. No. 391,
174 Part No. 192, Column 2:

175

176 (Ex1) *Confidence in personal and occupational schemes will have been severely*
177 *damaged this week by news that the Government are abolishing higher-rate tax*
178 *relief on pension contributions.*

179 The analysis in Figure 1 is taken from the AraucariaDB online corpus:²

180 This is one of the simpler examples in the corpus. Figure 1 shows an instantiation
181 of a scheme in the Katzav-Reed taxonomy called *Argument from Singular Cause*,
182 which occurs in different guises in most other taxonomies. The implicit conditional is
183 presumed in this analysis to express a causal relationship between premise as cause
184 and conclusion as effect. Thus the fact that there is news from the Government (...)
185 conveys via a causal relation of conveyance the fact that confidence (...) will have
186 been damaged. This (‘compound’) fact is the one identified by the proposition that is
187 the argument in Ex1 and Figure 1.

188 The final point is to notice that there is a relationship between the type of
189 argumentation scheme and the type of atomic propositions that instantiate it.
190 Thus, in the example above, of the three atomic components, one expresses a
191 causal relation (the major premise), and the other two express the sort of facts
192 that can stand as cause and effect, respectively. (Note that the task here is not to
193 develop an all encompassing ontology. Nor is it to claim that some propositions
194 can be uniquely labelled as ‘causes’ or ‘effects’ – such a position would be absurd.
195 But nevertheless, it is self-evident that some types of propositions can stand in
196 such places, and that others cannot, and it is merely this distinction that is being
197 drawn here). Individual propositions may have numerous attributes that cha-
198 racterise their type. One advantage of this general approach is that it can be used
199 with any of the popular systems of schemes, including [6, 9–11, 13, 29] and
200 others.

201 In this way, a conventional propositional database of intentional attitudes such as
202 beliefs, is stratified by typing the propositions that it contains. This typing then
203 supports autonomous reasoning mechanisms by which agents can identify and
204 communicate arguments constructed from schemes instantiated by propositions of
205 the appropriate type.

206 This approach to the theoretical basis has the benefit of not only providing a
207 means for exploiting theories of argumentation from empirical sources, but also
208 makes possible reuse of analysed data within implemented multi-agent commu-
209 nities.

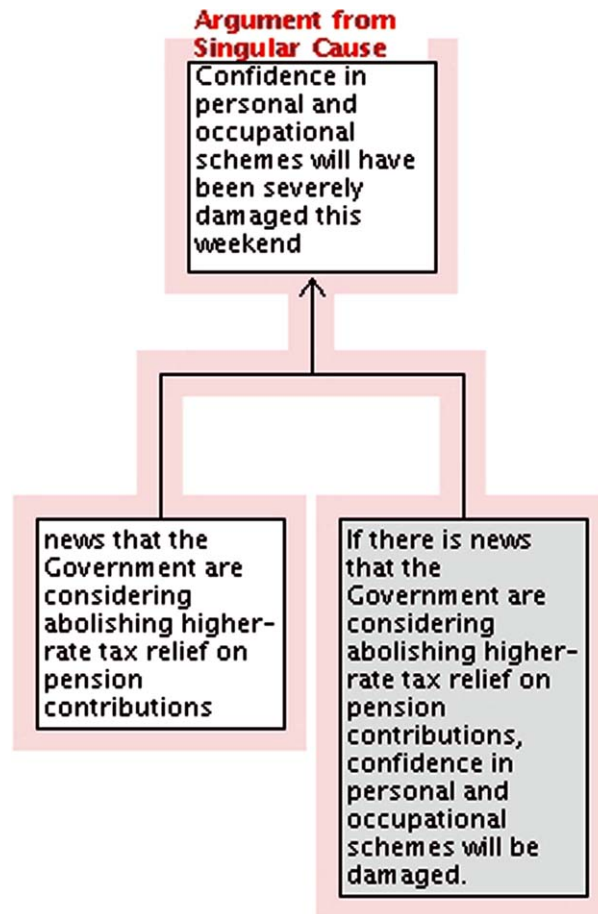


Figure 1. An Araucaria analysis of the structure of Ex1. Vertical arrows indicate support; joined arrows indicate linked support [7]; shaded areas around diagram components show schemes, named at their conclusions; and shaded boxes show enthymemes.

210 4. Elements of a formalisation of argumentation schemes

211 The starting point is propositional logic, PL , from which we take our propositions
 212 ($Props$), propositional variables, and all the usual operators. Next, we define a set of
 213 attributes, A . This set contains any number of arbitrary tokens. Attributes are
 214 associated with propositions by the typing relation, $\tau: Props \rightarrow P(A)$. That is, the
 215 typing relation associates with every proposition a set of attributes, or “type”.

216 The next step is to define scheme structures formally. The approach presented here
 217 is based on the implementation of the Argument Markup Language DTD [24, 25],
 218 and is designed to facilitate practical and reusable implementation.

219 The set Ξ of schemes in a particular system is comprised of a set of tuples of the
 220 following form: $\langle SName, SConclusion, SPremises \rangle$, where $SName$ is some arbi-

221 trary token, $SConclusion \in \mathcal{P}(A)$, and $SPremises \subset \mathcal{P}(A)$.³ If $\exists \xi \in \Xi$ such that $\xi =$
 222 $\langle \sigma_0, \sigma_1, \sigma_2 \rangle$ then $\neg \exists \xi' \in \Xi$ such that $\xi' = \langle \sigma_0, \sigma_3, \sigma_4 \rangle$ or $\xi' = \langle \sigma_5, \sigma_1, \sigma_2 \rangle$, for
 223 any $\sigma_3, \sigma_4, \sigma_5$. In this way, a scheme is uniquely named and is associated with a
 224 conclusion type, and a set of premise types.

225 Finally, an *instantiation* is an argument based upon one of the schemes. An
 226 instantiation is thus a tuple, $\langle Name, Conclusion, Premises \rangle$ such that for some
 227 $\langle SName, t, SPremises \rangle \in \Xi$, where $SName = Name$,

$$229 \quad \begin{aligned} & Conclusion \in Props \wedge \tau(Conclusion) = t, \quad \text{and} \\ & \forall p \in Premises, p \in Props \wedge \text{the set}\{\pi \mid \pi = \tau(p)\} = SPremises^4 \end{aligned}$$

231 In this way, an instantiation of a scheme named $SName$ must have a conclusion of
 232 the right type, and all the premises, each of which is also of the right type. (Note that
 233 this latter requirement is actually a little too strong for most natural models of
 234 scheme usage, as schemes often involve some premises being left implicit, to form
 235 enthymematic arguments. The simplification is useful at this stage of development,
 236 and does not preclude more sophisticated handling later).

237 This model supports a straightforward mechanism for representation of schemes.
 238 It does not, as it stands, give an agent a mechanism for reasoning with schemes and
 239 for building (that is to say, chaining) arguments using schemes. Through structures
 240 such as critical questions [29], argumentation schemes offer the potential for a
 241 sophisticated model of dialectical argument-based non-monotonic reasoning. Such a
 242 model is currently under development (see [20] for some preliminary steps in this
 243 direction). In the meantime, a simple solution suffices to support development of
 244 both theory and implementation.

245 To sketch how this works, we define a new operator \Rightarrow that corresponds to
 246 implication extended to schemes. That is, in this system, if $\alpha \supset \beta$, then $\alpha \Rightarrow \beta$, but
 247 also, if there exists an instantiation of an argument scheme $\langle N, C, P \rangle$ in which
 248 $\beta = C$ and $\alpha \in P$, then $\alpha \Rightarrow \beta$. Dung-style definitions [5] of acceptability and
 249 admissibility are then formed using deductive closure on \Rightarrow rather than \supset , and
 250 everything else remains as before. Thus, the representation of argumentation
 251 schemes is brought in to standard models of defeasible argumentation such as [5],
 252 [21], [27], etc.

253 5. Towards implementation

254 There are two distinct facets to implementation of schemes. The first is the ability to
 255 represent and manipulate scheme based structures in the one-agent setting in a
 256 flexible and scalable way. The second is to utilise that representation in the multi-
 257 agent case, and exploit representational structure in communication design.

258 5.1. Representation

259 The diagramming of natural argument is an important topic from the practical,
 260 pedagogic point of view [28], and also a driver of theoretical development in informal

261 logic [30]. As a result, Reed and Rowe [24, 25] developed *Araucaria*, a system for
 262 aiding human analysts and students in marking up argument. Araucaria adopts the
 263 'standard treatment' [7] for argument analysis, based on identification of proposi-
 264 tions (as vertices in a diagram) and the relationships of support and attack holding
 265 between them (edges in a diagram).⁵ It is thus similar to a range of argument vi-
 266 sualisation tools (see [14] for an overview), and familiar from AI techniques such as
 267 Pollock inference graphs [19]. As well as having a number of features that make it
 268 particularly well suited to teaching and research in argumentation, it is also unique in
 269 having explicit support for argumentation schemes.

270 Araucaria's underlying representation language is an XML language, the Argu-
 271 ment Markup Language. AML is defined using a DTD, a simple and straightfor-
 272 ward language-design mechanism. One of the basic components of arguments from
 273 Araucaria's point of view is a proposition or PROP – loosely, a text-box in Figure 1.
 274 The definition for this component is as follows:

```
< !ELEMENT PROP (PROPTEXT, OWNER*, INSCHEME*) >
```

276 The PROPTEXT component details the text or, roughly, the propositional content
 277 of a given PROP. The OWNERS of a PROP allow analysts to distinguish between
 278 viewpoints in an argument (and lay a foundation for marking up argumentative
 279 dialogue, which is currently work in progress). Finally, the INSCHEME component
 280 allows the analyst to indicate that a PROP belongs to a given scheme. Notice that the
 281 Kleene star in the definition allows multiple INSCHEME tags for a given PROP –
 282 that is, a given proposition can have a functional role in more than one argumen-
 283 tation scheme.

284 The definition of the (empty) INSCHEME tag given below includes two refer-
 285 ences, one to a unique scheme name, the *scheme* attribute, and one to a unique
 286 identifier, *schid*. It is important to include both so that any given PROP can be
 287 marked as belonging not only to a scheme of a particular type, but also a particular
 288 instance of that scheme within the current text (so that multiple instances of a given
 289 scheme can be identified uniquely).

```
< !ATTLIST INSCHEME scheme CDATA #REQUIRED  

schid CDATA #REQUIRED >
```

291
 292 Finally, the *scheme* attribute in the definition above corresponds (in processing, not
 293 in AML definition) to an element in the SCHEMESET tag of the AML file. For ease
 294 of exchange and independence, each AML analysis includes the complete set of
 295 scheme definitions that are used in the analysed text. The SCHEMESET (which can
 296 also be saved separately, and thereby adopted in different analyses) is composed of a
 297 series of SCHEME elements.

```
< !ELEMENT SCHEME (NAME, FORM, CQ*) >
```

299
 300 Thus each scheme has a unique name (e.g., 'Argument from Expert Opinion' in the
 301 schemeset corresponding to [29]). The CQ elements allow specification of critical

302 questions, and the FORM element supports specification of a scheme's formal
303 structure:

< !ELEMENT FORM (PREMISE*, CONCLUSION) >

305 where both PREMISEs and CONCLUSIONs are ultimately just propositions
306 expressed in text.

307 In this way, AML supports the specification of argumentation schemes in a
308 machine readable format. It is flexible enough to capture various types of argu-
309 mentation schemes, currently including examples from [9, 11, 18, 29]. Similarly, it
310 can handle and match other types of argumentation analysis in diverse domains
311 including Wigmore charts in reasoning about legal evidence [20], and representing
312 Pollock-style inference graphs [19]. At the same time, the language is simple enough
313 to support manipulation by a number of systems, tools and utilities, including, of
314 course, Araucaria. But AML is also used by several other utilities, and its schemes
315 are being employed in the construction of a large online corpus of natural argu-
316 mentation.⁶

317 5.2. Agent communication

318 Implementing scheme-based communication situated in a multi-agent system is
319 currently a work in progress. We have adopted a flexible, lightweight and easily
320 deployed agent platform called JUDE, primarily because it offers great flexibility in
321 the design and implementation of both mentalistic structures and communication
322 languages and protocols.⁷ Here, we describe the first step, namely, the ability for
323 individual agents to handle and reason with schemes.

324 In order to demonstrate the advantages of the approach, we have selected a
325 relatively simple theory of schemes that yields a relatively small set of proposition
326 types. Pollock [19] proposes an approach to defeasible reasoning that is attractive
327 in its simplicity, and has been shown to be applicable not only in automated
328 reasoning, but also in the analysis of real discourse such as that found in the
329 courtroom [20]. So, for example, a witness's statement that "I saw the accused at
330 the scene", would be analysed as an argument consisting of four parts arranged
331 into a sorites of three argumentation schemes. First, the witness's actual testimony
332 supports the fact that the claim she makes is true – in other words, an inferential
333 leap that has an implicit assumption about honesty (amongst other things). This is
334 the scheme from witness testimony. Next, from the proposition that the witness
335 does in fact recall having seen the accused, we might infer that the witness's
336 recollection is accurate, an inferential leap involving implicit assumptions about the
337 recall ability of the witness. This is the scheme from memory. Finally, from the
338 proposition that the witness did in fact see the accused at the scene, we can infer
339 that the accused was present, via a scheme involving assumptions about the
340 accuracy of perception: the scheme from perception. This analysis is summarised in
341 Figure 2:

342 In Pollock's system, typing of propositional components is clearly evident (though
343 not explored by him): testimony is of a distinct kind to recollections, which in turn



Figure 2. From witness testimony to an objective claim in three steps, *à la* Pollock.

344 are of a distinct kind from percepts, which in turn are different from other objective
 345 propositions. These three schemes and four propositional types, though not
 346 exhausting Pollock's typology, are employed here as the basis for investigation. The
 347 three schemes can be characterised in the following way (that is directly translatable
 348 into both the formal system of Section 4, and the implemented representation lan-
 349 guage of Section 5.1):

350	<u>Scheme from Witness Testimony</u> ⁸	
351	<i>Witness A says P</i>	Premise of type Testimony
352	<i>Witness A saying P is a prima facie</i>	
353	<i>reason for believing P</i>	Rule
354	<i>so, P</i>	Conclusion of any type
355	<u>Scheme from Memory</u>	
356	<i>A recalls P</i>	Premise of type Recollection
357	<i>Recalling P is a prima facie reason</i>	
358	<i>for believing P</i>	Rule
359	<i>so, P</i>	Conclusion of any type
360	<u>Scheme from Perception</u>	
361	<i>A has a percept with content P</i>	Premise of type Percept
362	<i>Having a percept with content P is</i>	
363	<i>a prima facie reason to believe P</i>	Rule
364	<i>so, P</i>	Conclusion of any type

365 Using the AML format of Section 5.1, these schemes are represented as in Figure 3:

```

<SCHEMASET>
  <SCHEME>
    <NAME>Perception</NAME>
    <FORM>
      <PREMISE type="percept">Having a percept with content P
      </PREMISE>
      <CONCLUSION>P</CONCLUSION>
    </FORM>
    <CQ>Are the circumstances such that having a percept P is
    not a reliable indicator of P?</CQ>
  </SCHEME>
  <SCHEME>
    <NAME>Memory</NAME>
    <FORM>
      <PREMISE type="memory">Recalling P</PREMISE>
      <CONCLUSION>P</CONCLUSION>
    </FORM>
    <CQ>Is P originally based on beliefs of which one is
    false?</CQ>
    <CQ>Is P not originally believed for other reasons?</CQ>
    <CQ>Does the agent who recalls P express doubt about P?</CQ>
  </SCHEME>
  <SCHEME>
    <NAME>Witness Testimony</NAME>
    <FORM>
      <PREMISE type="testimony">Witness W says P</PREMISE>
      <CONCLUSION>P</CONCLUSION>
    </FORM>
    <CQ>Is witness W truthful?</CQ>
  </SCHEME>
</SCHEMASET>

```

Figure 3. AML representation of a simple schemeset.

366 The belief database of an agent is populated at start up. Beliefs are stored as directed
367 by the model presented in Section 4, with a propositional component and a type
368 component, the latter comprised of a number of attributes (specifically, PROP-
369 TEXT is extended to include typing information). As a fragment of AML, the
370 argument from Figure 2 is represented as in Figure 4 (some detail has been
371 omitted for clarity).

372 The *invention* of the argument is beyond the scope of the current work – in
373 implementation, the agent simply has the user select a proposition to argue for. The
374 agent then selects a supporting argument at random. That is, by chaining through
375 the belief database, it identifies instantiations of schemes, replete with appropriately
376 typed propositions, and selects one of them. The argument is then rendered as a
377 fragment of AML, and communicated to an opponent.

378 To assess the impact of typing of beliefs, agents are initialised with an artificially
379 created belief set containing thousands of random “beliefs” of typed, unique tokens.
380 In addition, the beliefs include a small number of inferential compounds that rep-
381 resent instantiations of schemes. Proving a given belief is thus essentially a search
382 problem: stratifying the beliefs on the basis of type partitions the search problem, so
383 it should be expected that search over a stratified set is much more efficient than
384 search over unstratified beliefs. By re-running the implementation with the typing
385 machinery disabled, it is possible to demonstrate that this is indeed the case. With
386 several replicates (to allow for random ordering artifices in the belief sets) at each of
387 a number of belief set sizes between 1000 and 50,000 beliefs, results shown in Fig-
388 ure 5 were recorded.

389 Thus, as we would expect, partitioning the belief set into the four belief types (*viz.*
390 *percept*, *recollection*, *testimony* and everything else) has a direct and striking impact
391 on processing time: even though the selected schemes happen to type-constrain only
392 their premises, and despite the small number of types (and therefore, partitions), the
393 data demonstrate a three-to-four-fold reduction in processing time to identify the
394 appropriate instantiations of schemes.

395 6. The role of schemes in agent communication

396 There are several key advantages that are delivered by using argumentation schemes
397 in inter-agent argument. The first is that the belief database is stratified. As agents
398 become larger, and have larger belief databases, and as agent systems are deployed in
399 more real world situations, deduction and search through that database – even by
400 the very fastest theorem provers – becomes extremely computationally expensive.
401 Tackling this problem is going to require a battery of techniques. One of those
402 techniques could be to partition or stratify the database to guide the search process.
403 That particular schemes (i.e. particular ways of reaching conclusions) can only take
404 certain types of proposition cuts the processing required to generate arguments by
405 substantially reducing the branching factor. A second, analogous advantage reduces
406 load for the hearer – processing an incoming argument to assess its acceptability (or
407 some other standard for validity, reasonableness, or sufficiency) is similarly com-
408 putationally intensive. This processing too is simplified by reducing search through

```

<TEXT>The witness testifies that she saw the accused at the scene. The
witness recalls having seen the accused at the scene. The witness saw
the accused at the scene. The accused was at the scene. </TEXT>
<AU>
  <PROP>
    <PROPTXT>The accused was at the scene. </PROPTXT>
    <INSCHHEME scheme="Perception" schid="2" />
  </PROP>
  <CA>
    <AU>
      <PROP>
        <PROPTXT type="percept">The witness saw the accused at
        the scene.</PROPTXT>
        <INSCHHEME scheme="Memory" schid="1" />
        <INSCHHEME scheme="Perception" schid="2" />
      </PROP>
      <CA>
        <AU>
          <PROP>
            <PROPTXT type="recollection">The witness recalls
            having seen the accused at the scene.</PROPTXT>
            <INSCHHEME scheme="Witness Testimony" schid="0" />
            <INSCHHEME scheme="Memory" schid="1" />
          </PROP>
          <CA>
            <AU>
              <PROP>
                <PROPTXT type="testimony">The witness testifies that
                she saw the accused at the scene.</PROPTXT>
                <INSCHHEME scheme="Witness Testimony" schid="0" />
              </PROP>
            </AU>
          </CA>
        </AU>
      </CA>
    </AU>
  </CA>
</AU>

```

Figure 4. AML representation of a sample argument.

409 scheme-based stratification. A third advantage also becomes manifest at this step in
 410 the process of inter-agent argumentation. For not only is the computational load
 411 of judging incoming arguments reduced, but further, the mechanisms by which
 412 that judging can be carried out are much broader. Individual argument schemes
 413 might have their own standards of validity by which they might be judged (in a
 414 similar way to the distinction between deductive validity and inductive strength).
 415 The way in which particular schemes are judged is then a feature of the com-
 416 munity or society in which that agent resides (demonstrating a close analogy to
 417 human communities).

418 There are also broader, practical advantages of equipping agents, both autono-
 419 mous and those working directly on behalf of users, with the ability to formulate and
 420 handle argumentation schemes as fragments of AML. The first is that it offers the
 421 opportunity to re-use increasingly rich resources of existing argumentation, such as
 422 AraucariaDB, that could provide a way of overcoming some of the limitations of the
 423 “knowledge bottleneck” that limits many real world deployments of interesting AI
 424 and MAS models. The second advantage is that with wide heterogeneity in the types
 425 of arguments used in domains such as law, pedagogy and e-government, it is
 426 important to have communication and reasoning models that are as theory-neutral
 427 as possible.

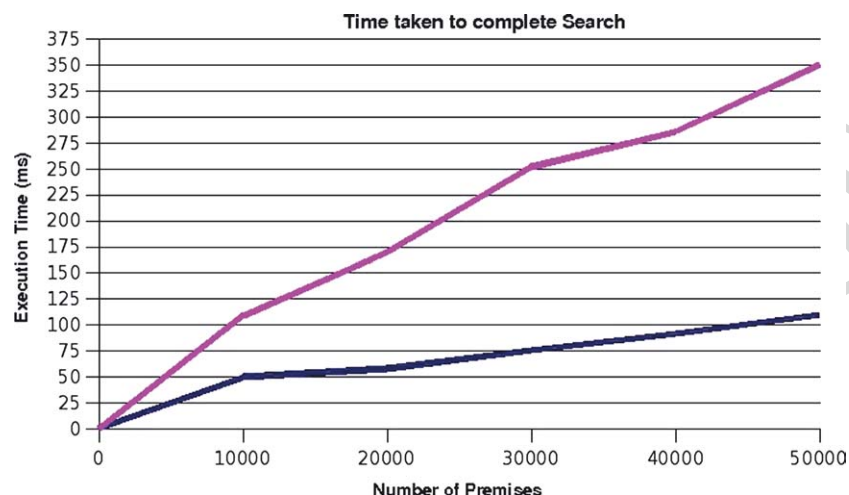


Figure 5. The effect of belief typing on search time; the lower line records performance with typing enabled.

428 Finally, it becomes possible to envisage heterogeneous environments in which
 429 completely autonomous agents can interact with humans, or agents representing
 430 humans, through the medium of natural language restricted through structural
 431 constraints and ontological limits – but not requiring natural language under-
 432 standing and generation. Though an ambitious aim, such systems are being hinted at
 433 by increasingly sophisticated models of CSCW and CSCA in particular [14], and
 434 scheme-based communication represents a further step in that direction.

435 One further exciting opportunity is to have agents configure their reasoning
 436 capabilities on the basis of schemeset definitions. There are many alternative ways of
 437 defining schemes: [11, 12, 29] represent three divergent theoretical views, and [16]
 438 indicate that it is likely that more will be developed in the computational domain. It
 439 was for these reasons that Araucaria was designed to support the definition,
 440 manipulation and exploitation of “schemesets” that use the same AML language to
 441 characterise different sets of schemes. These schemesets essentially represent a more
 442 or less complete way of performing reasoning, and so could be used to reconfigure
 443 agent reasoning capabilities on the fly.

444 But despite the work that remains to be done, it is already clear that there is a need
 445 for a model of scheme-based communication that builds on the successes of [1, 15],
 446 *inter alia*, but integrates work on argumentation schemes, both the more mature
 447 research in argumentation theory, and the nascent results with a more computational
 448 bent [2, 3, 16, 27]. This paper has aimed to lay out some groundwork for such an
 449 integration in three ways: at a conceptual level, arguing for the importance of nat-
 450 uralistic models; at the formal level, sketching a coherent formal framework; and at
 451 the implementation level, showing how implemented components are slotted to-
 452 gether to provide clear and concrete results.

453 **Acknowledgments**

454 The authors would like to acknowledge grant support from the Leverhulme Trust in
 455 the UK, and SSHRC in Canada, for supporting their collaborative work in the area.
 456 They would also like to thank Henry Prakken for stimulating discussions on closely
 457 related issues, and Philip Quinlan, a student at Dundee who worked on aspects of the
 458 implementation. Finally, grateful acknowledgement is made to the anonymous
 459 reviewers for the ArgMAS workshop at which an earlier version of this work was
 460 first presented, and then the very diligent reviewers for JAAMAS, who through their
 461 comments have aided significant improvements to this presentation.

462 **Notes**

- 463 1 This apparently simple starting point has various ramifications, some of which are convenient (such as
 464 the fact that any argument R can be referred to with an appropriate 'that' clause – the argument that R :
 465 this is a property of propositions) and some of which are less so (such as the requirement to exclude
 466 interrogatives and imperatives from the concept of argument for now). Further discussions can be
 467 found in (Katzav and Reed, 2004).
 468 2. A corpus of analysed natural argumentation available at <http://araucaria.computing.dundee.ac.uk/>
 469 3. In fact, the picture for $SPremises$ is rather more complicated. Clearly, an argument scheme can include
 470 more than one premise of the same type. Thus $SPremises$ can have multiple identical elements. Hence
 471 $SPremises$ is not a set, but a bag. In order to keep the presentation simple, and to focus on the broad
 472 structural aspect of the formalism, it is here simplified and restricted such that there can only be one
 473 premise of each type. In detail, extra machinery can be added quite simply such that each element of
 474 $SPremises$ is a tuple in which the first element is a unique natural number, and the second element the
 475 set of attributes that constitute a premise type. In this way, $SPremises$ remains a set and yet multiple
 476 instances of a given premise type are permitted.
 477 4. Set equivalence here is taken to mean identical membership
 478 5. Though recent work has extended Araucaria to support conventional Toulmin diagrams [26], and the
 479 interchange between Toulmin diagrams and the standard treatment.
 480 6. Clearly the use of a markup language and the presentation here are suggestive of other work in corpus
 481 linguistics. There is not space here to explore the relationships between AML and corpus research; the
 482 interested reader is directed to the website for further details: <http://araucaria.computing.dundee.ac.uk>.
 483 7. See <http://www.calicojack.co.uk/>
 484 8. Witness Testimony is not presented as a class of prima facie reasons in Pollock's account. Here it is
 485 presented as if it were for simplicity and clarity (for Pollock, the prima facie reason, *If a witness says P*
 486 *then one may infer P* is nothing special). A more detailed analysis is offered in [4].

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